
Bottom-up rewriting is inverse recognizability preserving

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1.1-RECALLS-SEMI-THUE SYSTEMS

A **rewrite rule** over the alphabet A is a pair

$$\ell \rightarrow r$$

of words in A^* .

A **semi-Thue system** is a pair (S, A) where S a set of rewrite rules built upon the alphabet A .

For every $f, g \in A^*$,

$$f \rightarrow_S g$$

iff there exists $\ell \rightarrow r \in S$ and $\alpha, \beta \in A^*$ such that

$$f = \alpha\ell\beta \ \& \ g = \alpha r\beta.$$

We call \rightarrow_S^* the **derivation** generated by S .

1.2-RECALLS- TERM-REWRITING SYSTEMS

A **rewrite rule** is a pair

$$\ell \rightarrow r$$

of terms in $\mathcal{T}(\mathcal{F}, \mathcal{V})$ which satisfy $\text{Var}(r) \subseteq \text{Var}(\ell)$.

A **term rewriting system** is a pair $(\mathcal{R}, \mathcal{F})$ where \mathcal{F} is a signature and \mathcal{R} a set of rewrite rules over the signature \mathcal{F} . For every $s, t \in \mathcal{T}(\mathcal{F}, \mathcal{V})$,

$$s \rightarrow_{\mathcal{R}} t$$

iff there exists $\ell \rightarrow r \in \mathcal{R}$ a context $C[\]$ and a substitution σ such that

$$s = C[\ell\sigma] \ \& \ t = C[r\sigma].$$

We call $\rightarrow_{\mathcal{R}}^*$ the **derivation** generated by \mathcal{R} .

2.1-INTRODUCTION- PROBLEMS

Given a system \mathcal{R} and a set of terms T , we define

$$(\rightarrow_{\mathcal{R}}^*)[T] = \{s \in \mathcal{T}(\mathcal{F}) \mid s \rightarrow_{\mathcal{R}}^* t \text{ for some } t \in T\}$$

Problem: under which hypothesis over \mathcal{R} does it hold that, for every recognizable set T , $(\rightarrow_{\mathcal{R}}^*)[T]$ is recognizable too.

2.2-INTRODUCTION- MOTIVATIONS

- rational subsets of a monoid $M = A^* / \leftrightarrow_S^*$
application to resolution of equations with **rational** constraints in M .
- decidability of the **accessibility** problem for $\rightarrow_{\mathcal{R}}$
- decidability of the **common-ancestor** problem for $\rightarrow_{\mathcal{R}}$
- sequentiality problems:
 - computation of a **needed redex** in a term t w.r.t. \mathcal{R}
 - decidability of the **sequentiality** property for \mathcal{R}
- decidability of the **termination** problem.

2.3-INTRODUCTION- KNOWN RESULTS

Two kinds of results.

First kind: **syntactical** condition over \mathcal{R}

Generic theorem:

if \mathcal{R} has property P , then, for every recognizable set T ,

$(\rightarrow_{\mathcal{R}}^*)[T]$ is **recognizable** too.

2.3-INTRODUCTION- KNOWN RESULTS

First kind references ([syntax](#)):

- cancellation rules [Benois-Sakarovitch, IPL 86](#)
- basic semi-Thue systems [Benois, RTA 87](#)
- left-basic semi-Thue systems [Sakarovitch, PHD, 79](#)
- ground term-rewriting systems
[Dauchet-Heuillard-Lescanne-Tison, Inf. and Comput. 87](#)
- linear shallow term-rewriting systems [Comon, LICS 95](#)
- linear growing term-rewriting systems [Jacquemard, RTA 96](#)
- left-linear growing term-rewriting systems
[Nagaya-Toyama, Inf. and Comput. 02](#)
- left-linear inverse finite-path overlapping TRS
[Takai-Kaji-Seki, Sci. Math. Jap., 2006](#)

2.3-INTRODUCTION- KNOWN RESULTS

Second kind: use a special **strategy** in derivations

Generic theorem (for the strategy \mathcal{S}):

For every TRS \mathcal{R} and every recognizable set T , $(s \rightarrow_{\mathcal{R}}^*)[T]$ is **recognizable** too.

2.3-INTRODUCTION- KNOWN RESULTS

Second kind references ([strategy](#)):

- one-pass term rewriting

[Fulop-Jurvanen-Steinby-Vagvolgyi, MFCS 98](#)

- concurrent term rewriting

[Seynhaeve-Tison-Tommasi, FCT 99](#)

- “bottom-up derivations”

[Rety-Vuotto, JSC 05.](#)

2.4-INTRODUCTION- **NEW** RESULTS

We define a new notion of **k-bottom-up** derivation, denoted by $k \rightarrow_{\mathcal{R}}^*$.

Theorem 1 *Let \mathcal{R} be some linear rewriting system over the signature \mathcal{F} , let T be some **recognizable** subset of $\mathcal{T}(\mathcal{F})$ and let $k \geq 0$. Then, the set $(k \rightarrow_{\mathcal{R}}^*)[T]$ is **recognizable** too.*

We then introduce the class of Bottom-Up systems as the class of all systems for which the above strategy is complete.

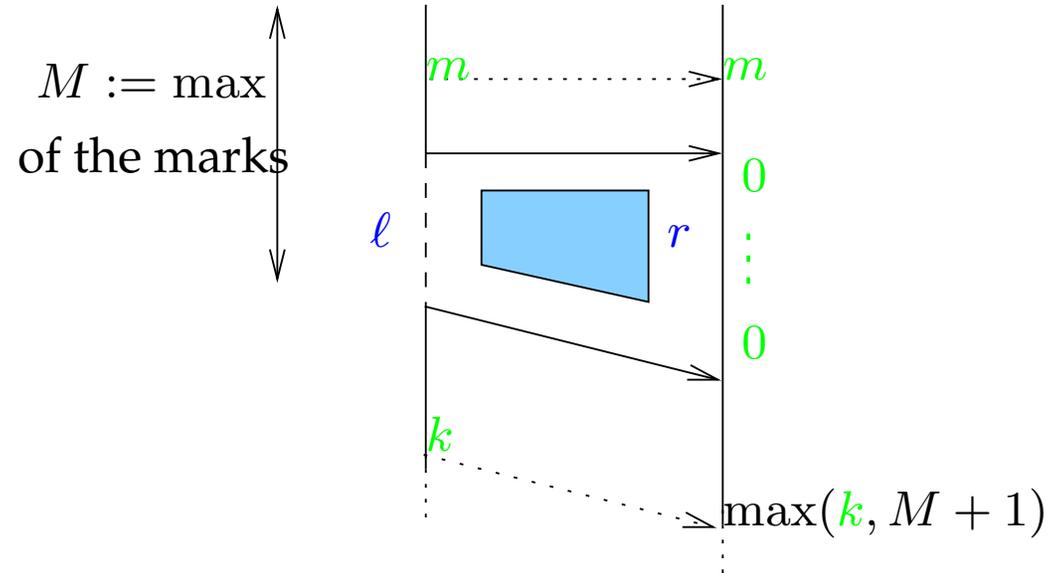
2.5-INTRODUCTION- METHODS

1- Define a **marking** operation: the marks are integers that measure the amount of top-down (i.e. “forbidden”) sequence of rules.

Bottom-up derivation are those derivations with small marks.

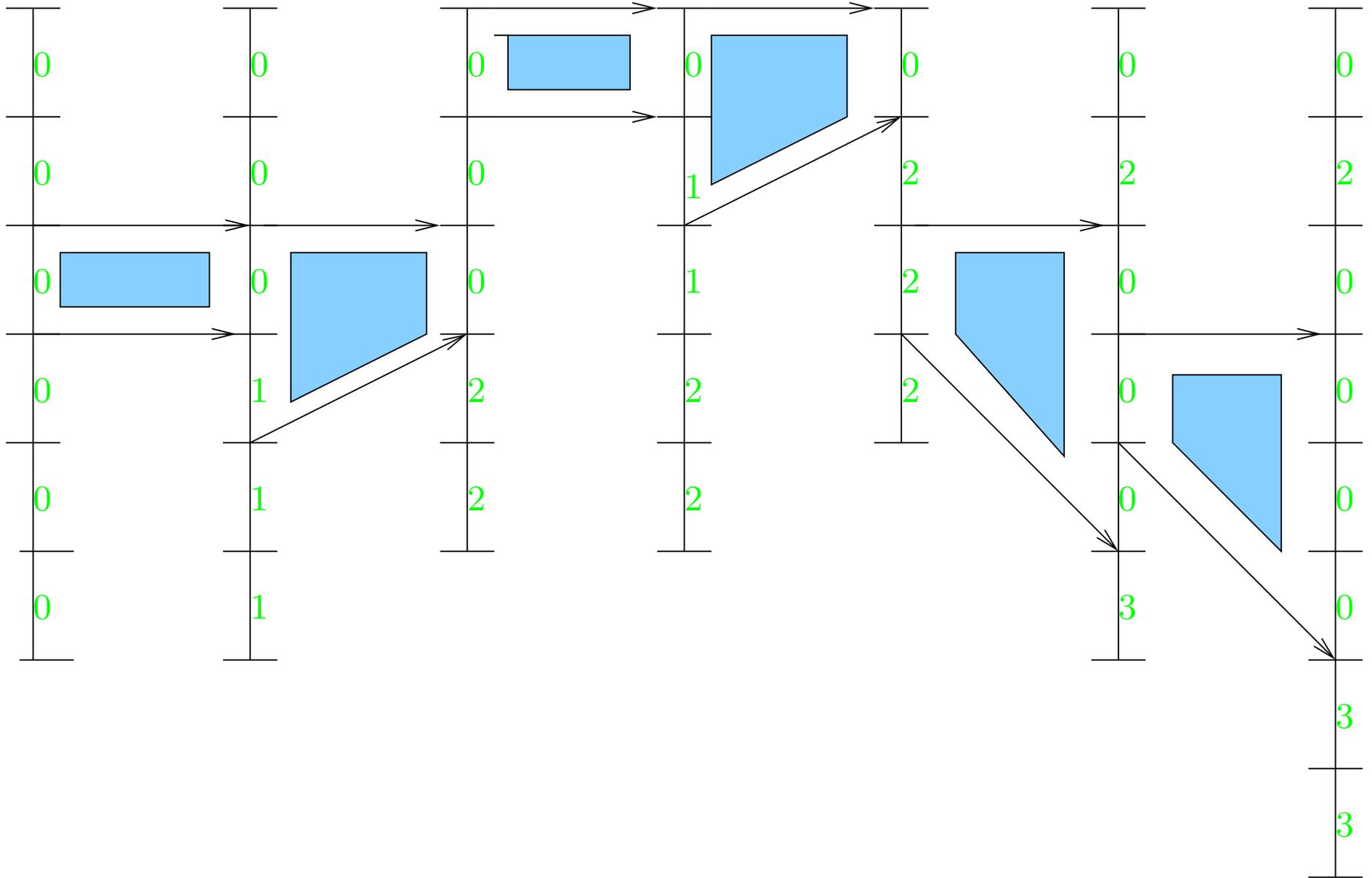
2- **Reduce** the preservation property to the same property for **ground** systems: a bottom-up derivation can be **simulated** by a ground derivation.

3.1-MARKED DERIVATIONS- UNARY TERMS



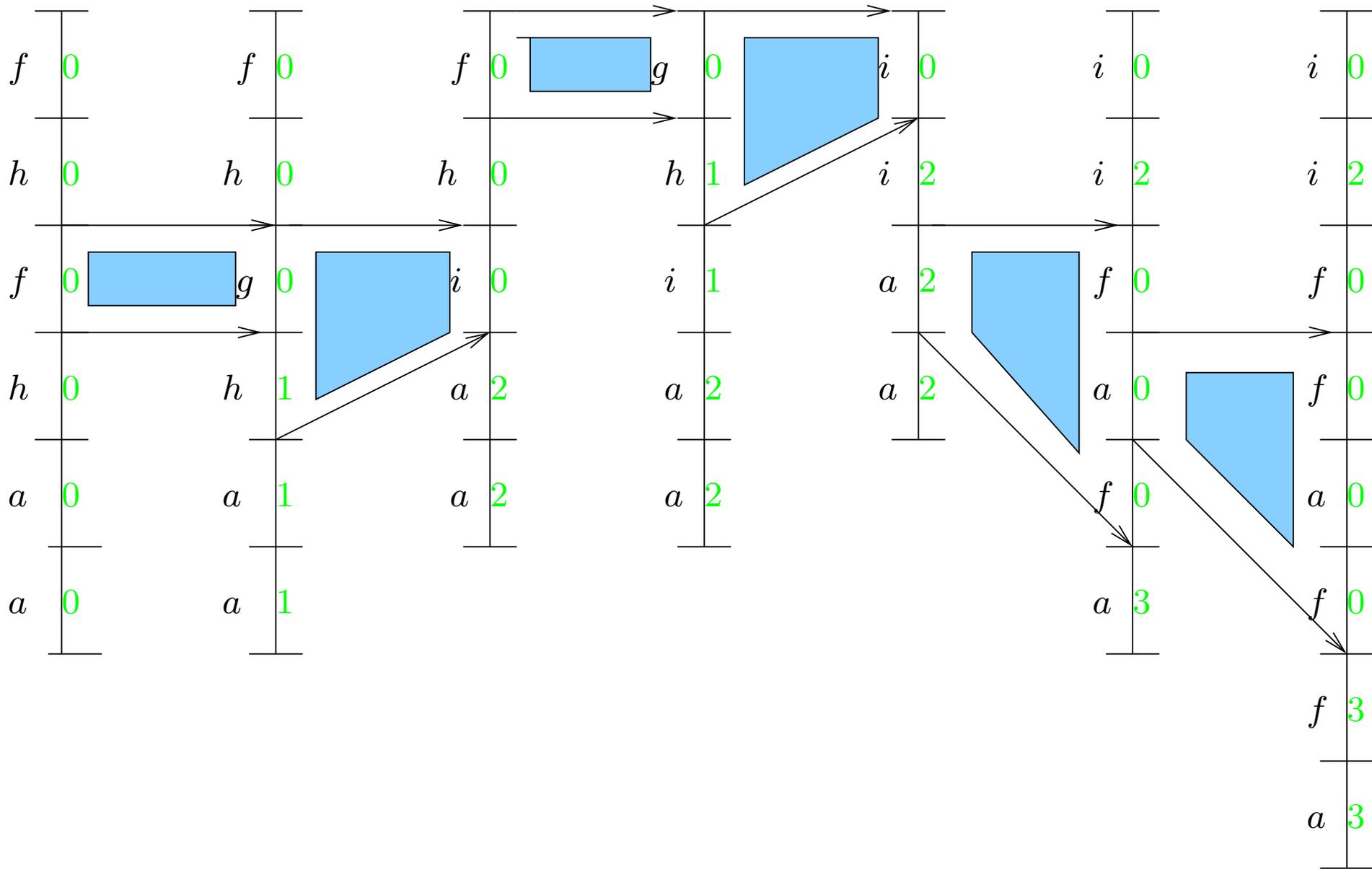
3.1-MARKED DERIVATIONS- UNARY TERMS

A derivation graph.

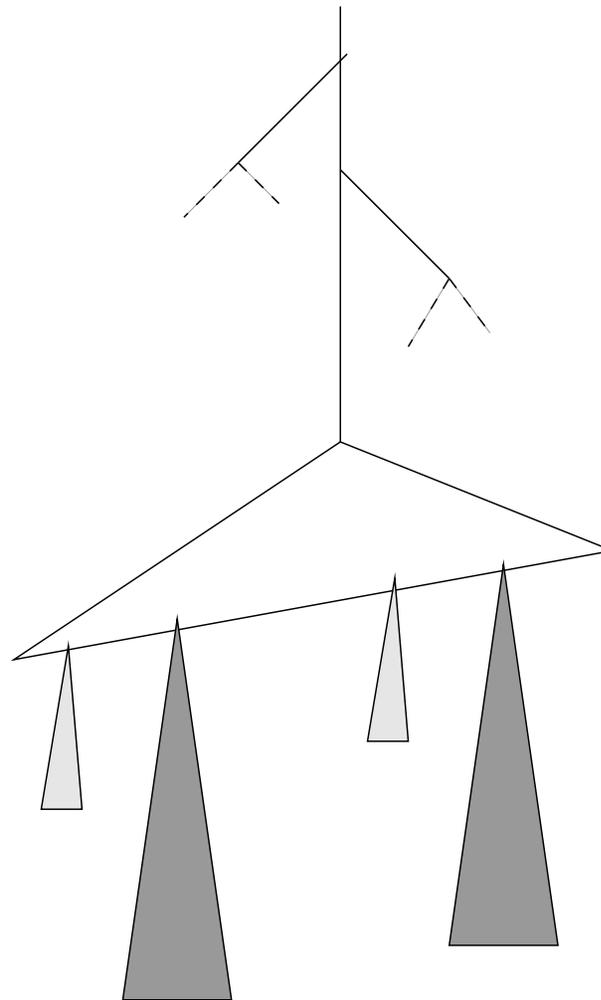
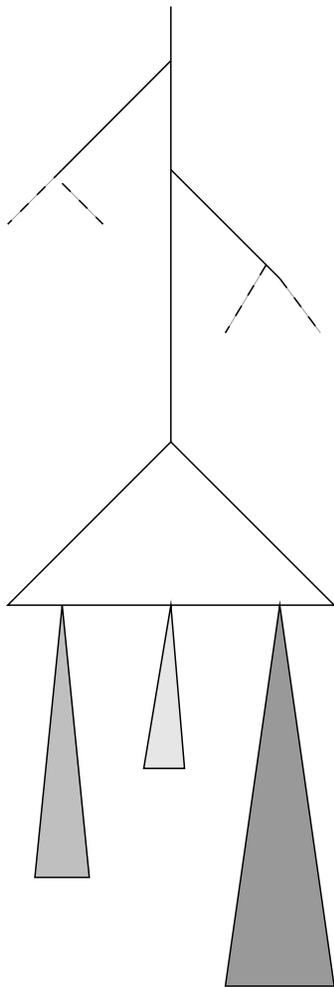


3.1-MARKED DERIVATIONS- UNARY TERMS

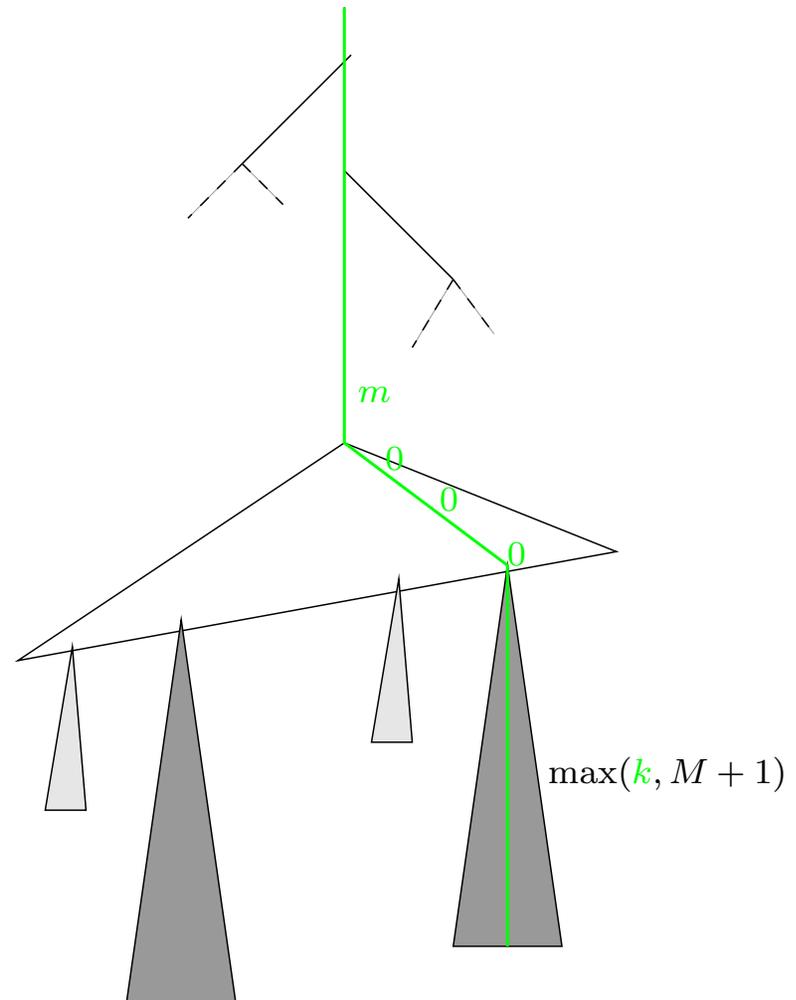
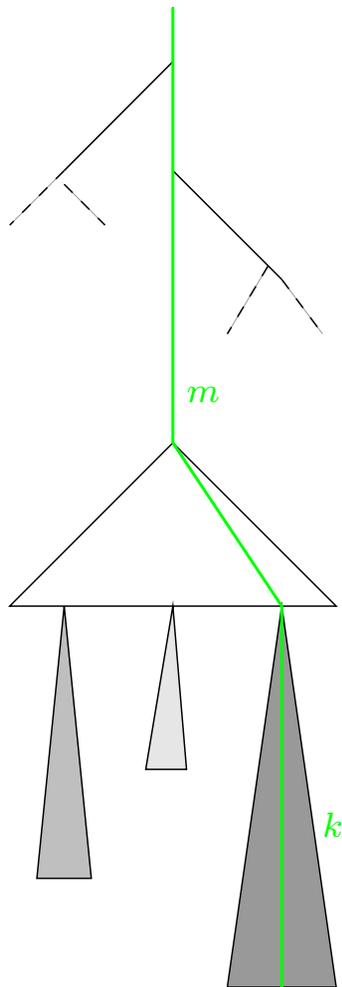
A derivation.



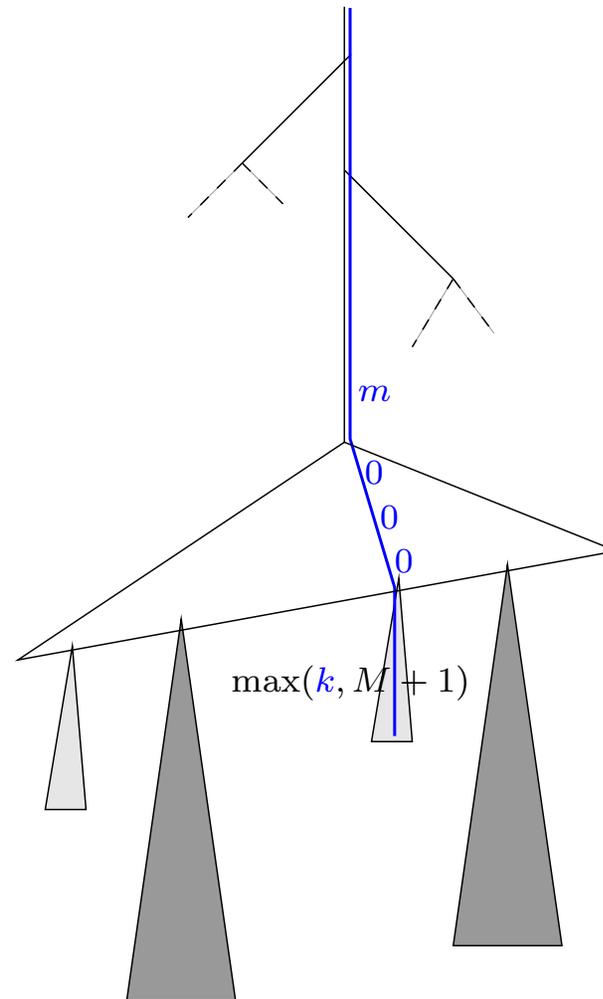
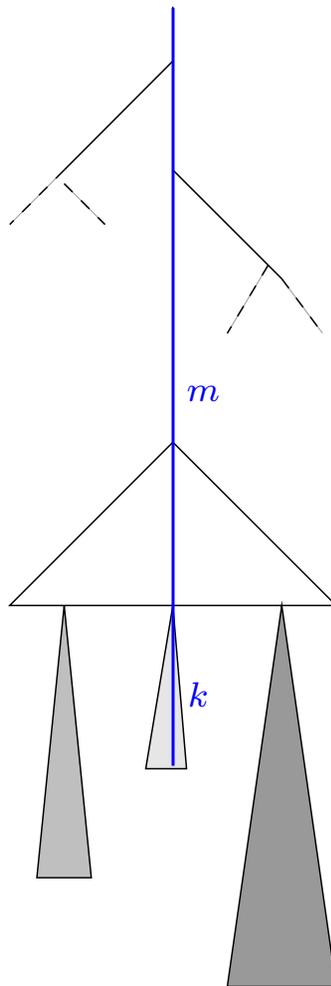
3.2-MARKED DERIVATIONS- GENERAL TERMS



3.2-MARKED DERIVATIONS- GENERAL TERMS



3.2-MARKED DERIVATIONS- GENERAL TERMS



4.1 -BOTTOM-UP DERIVATIONS- BOTTOM-UP DERIVATIONS

Definition 2 A derivation $s \rightarrow_{\mathcal{R}}^* t$ is *weakly bottom-up* iff, in the corresponding marked derivation, for every application of rule, the *minimum* mark of the lhs is 0.

Let $k \geq 0$.

Definition 3 A derivation $s \rightarrow_{\mathcal{R}}^* t$ is *k bottom-up* iff, in the corresponding marked derivation, for every application of rule, the *minimum* mark of the lhs is 0 and the *maximum* mark of the term is $\leq k$.

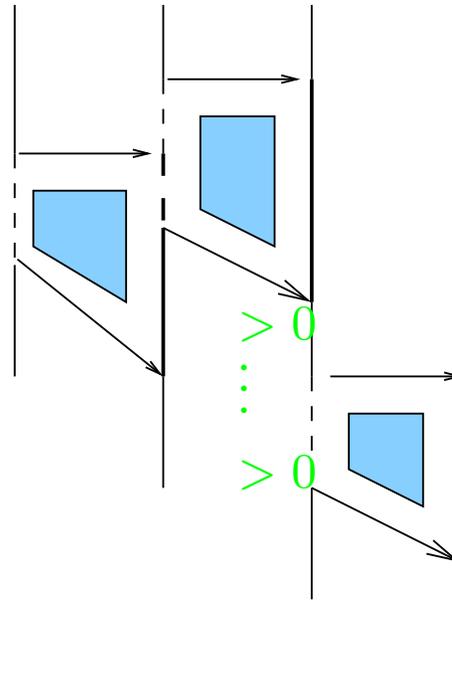
Notation:

$$s \xrightarrow{k}_{\mathcal{R}}^* t$$

means that there exists a *k bottom-up* derivation from s to t .

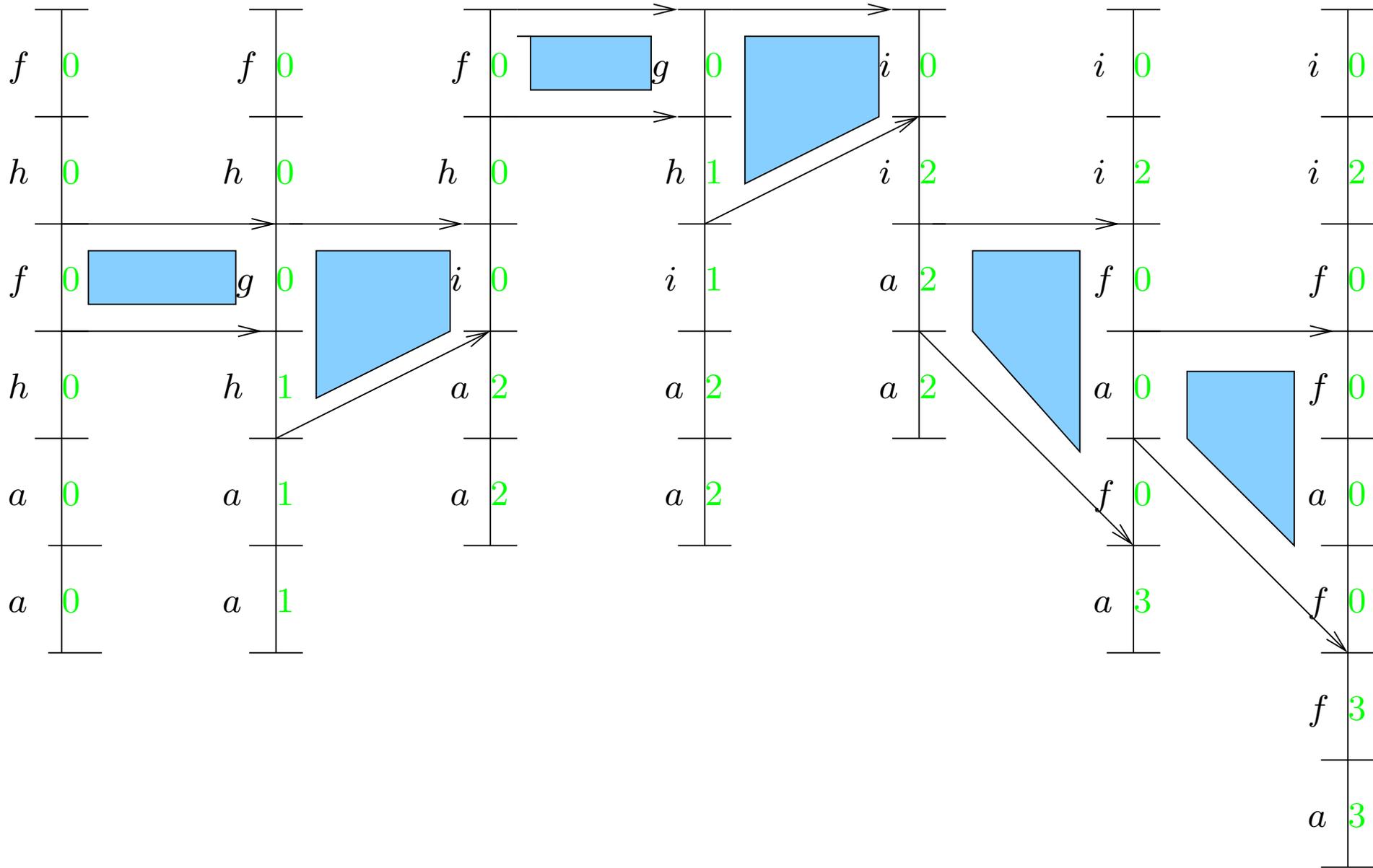
4.1 -BOTTOM-UP DERIVATIONS- BOTTOM-UP DERIVATIONS

A generic non **weakly bottom-up** derivation.



4.1 -BOTTOM-UP DERIVATIONS- BOTTOM-UP DERIVATIONS

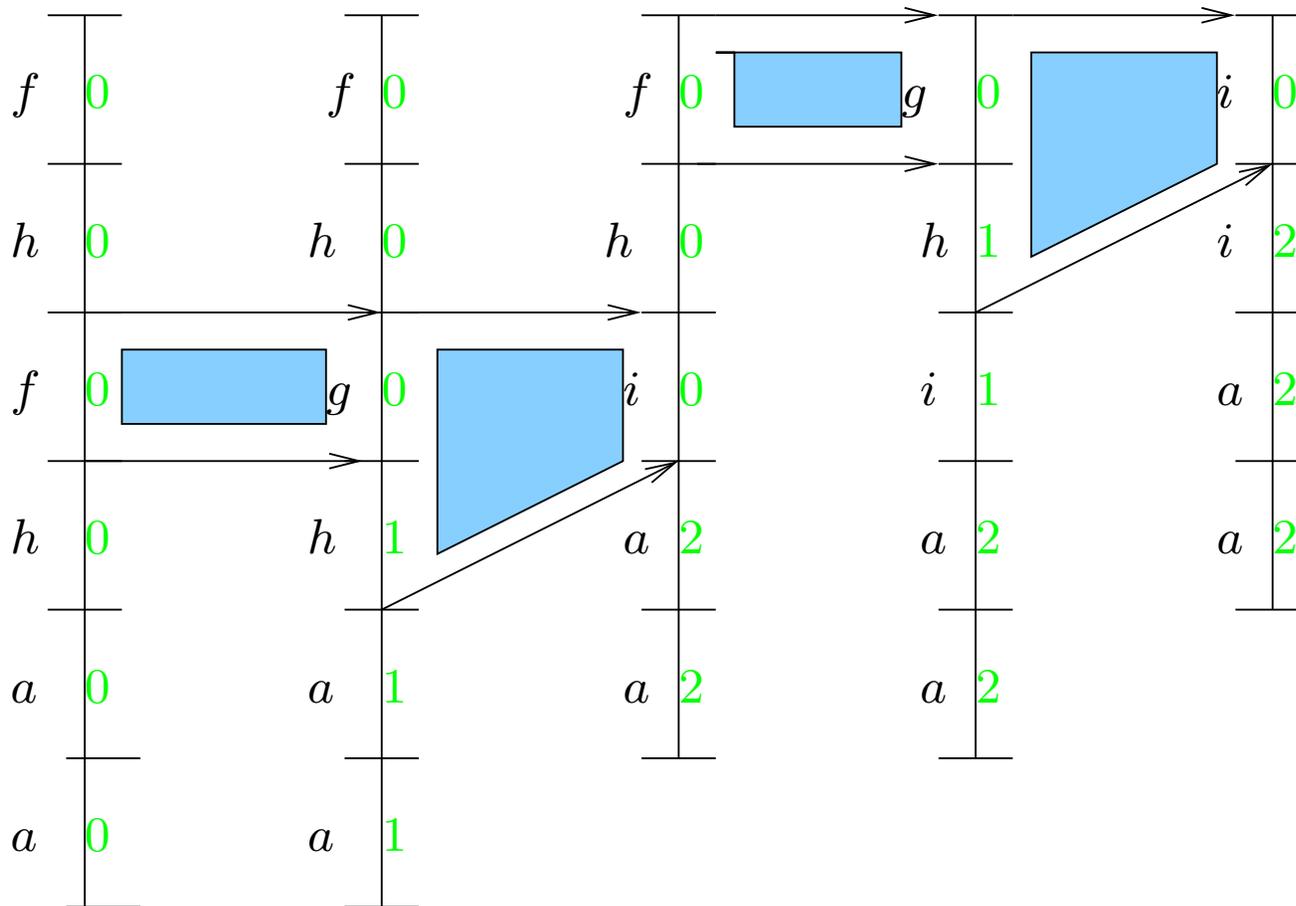
A concrete non [weakly bottom-up](#) derivation.



4.1 -BOTTOM-UP DERIVATIONS- BOTTOM-UP DERIVATIONS

A concrete derivation:

- it is weakly bottom-up,
- it is not BU(1),
- it is BU(2),



4.2 -BOTTOM-UP DERIVATIONS- BOTTOM-UP SYSTEMS

Definition 4 Let $k \geq 0$. A system $(\mathcal{R}, \mathcal{F})$ is called k -Bottom-Up iff, it is *linear* and for *every* $s, t \in \mathcal{T}(\mathcal{F})$

$$s \rightarrow_{\mathcal{R}}^* t \Rightarrow s \xrightarrow{k}_{\mathcal{R}}^* t$$

i.e. if \mathcal{R} is linear and the k -BU strategy is complete for \mathcal{R} .

4.3 -BOTTOM-UP DERIVATIONS- KNOWN SUBCLASSES

The following classes of systems are bottom-up:

- every **left-basic** semi-Thue system is BU(1)
- every **linear growing** Term Rewriting system is BU(1)
- every **linear FPO⁻¹** Term Rewriting system is in $\bigcup_{k \geq 0} \text{BU}(k)$.

5.1-PRESERVATION OF RATIONALITY-**THE** RESULT

Theorem 1

Let \mathcal{R} be some linear rewriting system over the signature \mathcal{F} , let T be some **recognizable** subset of $\mathcal{T}(\mathcal{F})$ and let $k \geq 0$. Then, the set $(\mathop{k\rightarrow}_{\mathcal{R}}^*)[T]$ is **recognizable** too.

Theorem 5 *Let $k \geq 0$, let \mathcal{R} be some $\text{BU}(k)$ rewriting system over the signature \mathcal{F} and let T be some **recognizable** subset of $\mathcal{T}(\mathcal{F})$. Then, the set $(\rightarrow_{\mathcal{R}}^*)[T]$ is **recognizable** too.*

5.2.2-PRESERVATION OF RATIONALITY-CONSTRUCTION OF \mathcal{S}

General idea:

- Simulate a bottom-up derivation, by a derivation where the substitutions used have a **bounded depth**
- The deeper part of the substitution is replaced by a **state** of the finite automaton recognizing T .

5.2.2-PRESERVATION OF RATIONALITY-CONSTRUCTION OF \mathcal{S}

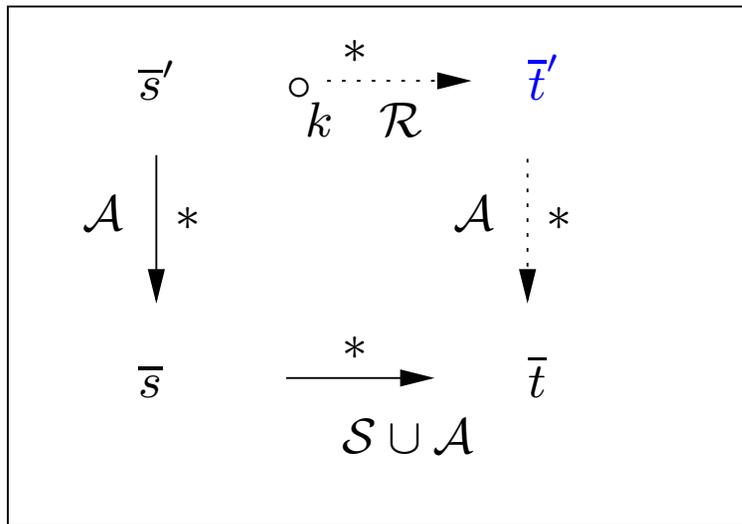
Let $d := \max\{dpt(\ell) \mid \ell \rightarrow r \in \mathcal{R}\}$.

The system \mathcal{S} : consists of all the rules

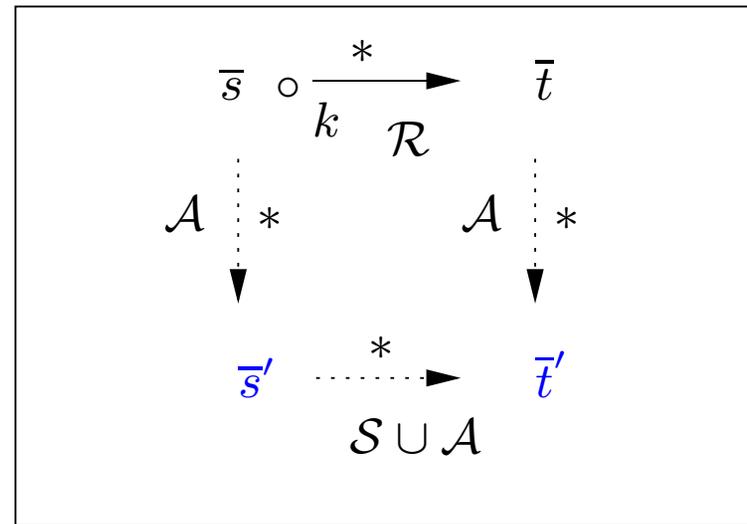
$$\bar{\ell}\bar{\tau} \rightarrow r\bar{\tau}$$

where $\ell \rightarrow r$ is a rule of \mathcal{R} , $\bar{\tau}, \bar{\tau}$ are **marked** substitutions, with marks $\leq k$, $dpt(\bar{\tau}) \leq k \cdot d$ and $\bar{\ell}\bar{\tau} \rightarrow r\bar{\tau}$ is a one-step, k -bu, marked derivation.

5.2.3-PRESERVATION OF RATIONALITY- SIMULATION LEMMAS



Lifting $S \cup A$



Projecting \mathcal{R}

5.2.4-PRESERVATION OF RATIONALITY- CONCLUSION

Since \mathcal{A} and \mathcal{S} are *ground* rewriting-systems, it is known that $\rightarrow_{\mathcal{S} \cup \mathcal{A}}^*$ inverse-preserves recognizability. By the simulation lemmas:

$$({}_k \rightarrow_{\mathcal{R}}^*)[T] = (\rightarrow_{\mathcal{S} \cup \mathcal{A}}^*)[Q_f^{\leq k}] \cap \mathcal{T}(\mathcal{F})$$

Hence $({}_k \rightarrow_{\mathcal{R}}^*)[T]$ is *recognizable*.

6.1-COMPLEXITY/DECIDABILITY- BOTTOM-UP

Theorem 6 *The BU(1) property is **undecidable** for semi-Thue systems.*

6.2-COMPLEXITY/DECIDABILITY- STRONG BOTTOM-UP

Definition 7 Let $k \geq 0$. A system $(\mathcal{R}, \mathcal{F})$ is called *strongly k -Bottom-Up* iff, it is *linear* and for *every* weakly bottom-up marked derivation

$$s = s_0 \rightarrow_{\mathcal{R}} s_1 \rightarrow_{\mathcal{R}} \dots \rightarrow_{\mathcal{R}} s_i \rightarrow_{\mathcal{R}} \dots \rightarrow_{\mathcal{R}} s_n = t$$

if s_0 has only *null* marks, then all the s_i have all their marks $\leq k$.

Theorem 8 The SBU(k) property is *decidable* for Term Rewriting systems.

Follows easily from theorem 1.

4.3 - COMPLEXITY/DECIDABILITY- STRONG BOTTOM-UP

- every **left-basic** semi-Thue system is **SBU(1)**
- every **linear growing** Term Rewriting system is **SBU(1)**
- every **linear FPO⁻¹** Term Rewriting system is in $\bigcup_{k \geq 0} \mathbf{SBU}(k)$.

7- PERSPECTIVES

- Extend the notion and the results to left-linear, **non right-linear** systems
- Study a dual notion of **top-down** derivations